



BATS GLOBAL MARKETS REPORTS 23.4% EUROPEAN MARKET SHARE; SETS RECORD IN GERMANY'S SDAX

U.S. Equities Market Share Totals 9.7%; BATS Options Reports 4.1% Market Share

KANSAS CITY, Mo., and LONDON – October 2, 2013 – BATS Global Markets (BATS) today reported that BATS Chi-X Europe posted overall market share of 23.4% in September, with a new monthly market share record set in Germany's SDAX (8.8%) and its second-best month on record for market share in Depository Receipts (FTSE ROIB, 8.3%).

BATS Chi-X Europe remained the largest pan-European market by market share and notional value traded in September, with monthly market share exceeding 26% in the UK, German, Swedish and Finnish markets. Overall, average daily notional value traded on BATS Chi-X Europe was €7.9 billion in September vs €7.0 billion in August. In the European securities markets covered by BATS Chi-X Europe, the total consolidated industry average daily notional value traded was €33.8 billion in September, up from €28.7 billion in August.

In the U.S., BATS reported 9.7% market share in U.S. equities as overall equities industry average daily volume fell to 6.1 billion shares from 6.5 billion shares one year ago. BATS Options reported market share of 4.1% in September, unchanged from August.

Additional September Highlights:

- The BATS Exchange welcomed the [iShares Short Maturity Bond ETF \(Symbol: NEAR\)](#), which began trading on September 26. There are now 20 ETFs listed on BATS Exchange.
- As part of its ongoing Business Continuity Planning efforts, BATS successfully [operated all of its U.S. operations from its disaster recovery site](#) for the second time this year on September 6 to ensure the company is prepared for any disaster situations that could impact the company headquarters. Additionally, BATS Chi-X Europe successfully conducted a disaster recovery test on Saturday, September 28, which simulated various disaster recovery failover scenarios for the exchange.
- BATS Global Markets was recognized by the *Kansas City Business Journal* as one of the “Best Places to Work” in Kansas City. This was the third year BATS has been recognized with this honor.
- During September, the BATS BZX Exchange ranked 1st or 2nd in quality of trading in 366 of the 500 stocks that make up the S&P 500[®] Index, as measured by effective spread, according to the [BATS market quality statistics](#). The BZX Exchange also had the lowest effective spread in 12 of the top 25 exchange traded products.

September 2013 Volume and Market Share Summary

U.S. Equities	September 2013
Overall Industry – Average Daily Consolidated Volume	6.1 billion shares
BATS Exchanges (BZX and BYX Exchange) – Matched Market Share	9.7%
BATS Exchanges (BZX and BYX Exchange) – Average Daily Matched Volume	594.4 million shares
U.S. Equity Options	
BATS Options – Matched Market Share	4.1%
BATS Options – Average Daily Matched Volume	637,119 contracts
European Equities	
Pan-European Total Consolidated Volume ¹ – Average Daily Notional Value	€33.8 billion
BATS Chi-X Europe – Overall European Market Share	23.4%
BATS Chi-X Europe – Total Notional Value Traded	€166.1 billion
BATS Chi-X Europe – Average Daily Notional Value	€7.9 billion

¹Based on BATS Chi-X Europe market coverage, available at www.batstrading.co.uk

Volume and Market Share Statistics by Market – U.S. Equities, U.S. Equity Options, European Equities

A complete breakdown of September volume and market share for each market center is outlined below. To track U.S. and European market share for all major trading exchanges and other venues, please visit:

<http://www.bats.com/markets>

U.S. Equities (The BATS Exchanges)

	September 2013	September 2012
The BATS Exchanges (BZX & BYX combined)	<i>(In millions, except percentages; volume and notional figures exclude odd-lots)</i>	
Average daily matched volume	594.4	806.5
Total notional value traded for the month	\$442,476.5	\$505,628.5
Market share percentage (for the period):		
Overall matched market share	9.7%	12.4%
Tape A	8.4%	11.7%
Tape B	13.4%	13.9%
Tape C	9.8%	12.9%
<u>Volume By Exchange:</u>		
BZX Exchange:		
Average daily matched volume	478.9	585.2
Total notional value traded for the month	\$359,775.2	\$383,698.7
Market share percentage (for the period):		
Overall matched market share	7.8%	9.0%
Tape A	6.6%	8.1%
Tape B	11.0%	11.0%
Tape C	8.1%	9.7%
BYX Exchange:		
Average daily matched volume	115.5	221.3
Total notional value traded for the month	\$82,701.3	\$121,929.8
Market share percentage (for the period):		
Overall matched market share	1.9%	3.4%
Tape A	1.8%	3.6%
Tape B	2.4%	2.9%
Tape C	1.7%	3.2%
BATS 1000 Index (a broad-based U.S. equities market benchmark)	19,035.25 <i>(09/30/13 close)</i>	16,086.42 <i>(09/30/12 close)</i>

U.S. Equity Options (BATS Options)

	September 2013		September 2012	
	Contracts	Market share	Contracts	Market share
Average daily matched volume	637,119	4.1%	594,069	3.5%

European Equities² (BATS Chi-X Europe)

	September 2013	September 2012
	<i>(In millions, except percentage)</i>	
Total notional value	€166,093.6	€147,972.2
Average daily notional value	€7,909.2	€7,398.7
Displayed average daily notional value	€7,245.3	€6,936.7
Non-displayed ³ average daily notional value	€663.9	€462.0
Overall European market share	23.4%	22.8%

Market share by market (for the period):

	September 2013	September 2012
London market overall	27.0%	26.6%
FTSE 100 securities	30.3%	30.3%
FTSE 250 securities	21.6%	24.9%
Paris market overall	23.3%	23.2%
CAC 40 securities	24.4%	24.4%
CAC Next20 securities	22.0%	23.2%
Frankfurt market overall	26.3%	25.2%
DAX 30 securities	28.1%	26.0%
MDAX securities	22.7%	27.6%
SDAX securities	8.8%	7.6%
Amsterdam market overall	21.3%	25.5%
AEX securities	21.7%	25.9%
AMX securities	18.3%	20.1%
Brussels market overall	23.2%	21.8%
BEL 20 securities	24.6%	22.0%
Milan market overall	11.3%	11.3%
FTSE MIB securities	11.9%	12.0%
Zurich market overall	24.3%	23.9%
SMI securities	26.7%	25.6%
SMIM securities	18.0%	16.2%
Nordic market overall	27.1%	24.1%
Helsinki OMXH25 securities	27.3%	23.8%
Stockholm OMXS30 securities	29.8%	27.6%
Copenhagen OMXC20 securities	24.4%	22.4%
Oslo OBX securities	24.9%	19.4%
Vienna market overall	14.0%	16.3%
ATX securities	14.5%	16.6%
Lisbon market overall	11.6%	13.7%
PSI-20 securities	11.7%	13.9%
Dublin market overall	6.6%	5.8%
ISEQ 20 securities	6.6%	5.8%
Madrid market overall	12.2%	3.1%
IBEX 35 securities	12.8%	3.2%
Key Indices		
EUROSTOXX 50 securities	22.5%	20.9%
FTSE RIOB	8.3%	3.6%

²Represent consolidated figures for BATS Europe (BXE) and Chi-X Europe (CXE) lit and dark books, unless otherwise noted.

³BXE and CXE non-displayed order books.

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About BATS Global Markets, Inc.

BATS Global Markets, Inc. (BATS) is a leading operator of securities markets in the U.S. and Europe. In the U.S., BATS operates two stock exchanges, the BATS BZX Exchange and BYX Exchange; BATS Options, a U.S. equity options market; and the BATS 1000 Index, an innovative U.S. equities market benchmark. The BATS BZX Exchange is a primary listings venue for exchange-traded products and is home to 20 exchange-traded funds. In Europe, BATS Chi-X Europe, a Recognised Investment Exchange regulated by the UK Financial Conduct Authority (FCA), and the largest pan-European equities exchange by market share and value traded, offers trading in more than 2,800 equities across 25 indices and 15 major European markets. The company is headquartered in the Kansas City, Mo. area, with additional offices in New York and London. For more information, visit www.bats.com.

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