# BATS Exchange, Inc.
# Service Bureau Agreement

1. This Service Bureau Agreement (this "Agreement") is between BATS Exchange, Inc. ("Exchange") the Member of Exchange designated below ("Member"), and the Authorized Service Bureau designated below ("Service Bureau").

2. This Agreement authorizes the Service Bureau to route orders and any modifications thereto to Exchange on behalf of the Member.

3. By executing this Agreement, the undersigned Member agrees that it is responsible for all orders using its mnemonic entered on the Exchange through or by the Service Bureau. The Member also agrees to accept and honor all trades executed on Exchange as a result of orders routed to Exchange through or by the Service Bureau using the Member's mnemonic, regardless of whether such orders were provided to Exchange in error by the Service Bureau.

4. The Member understands and agrees that it is its sole responsibility to immediately notify Exchange in the event that it wishes to terminate this Agreement.

5. This Agreement is for the term of one year from the date of execution and shall be automatically renewed on an annual basis unless terminated by any party upon 24 hours written notice.

6. This Agreement shall be governed by the laws of the state of New York without regard to its choice of law provisions.

**IN WITNESS WHEREOF** THE PARTIES HAVE EXECUTED THIS SERVICE BUREAU AGREEMENT EFFECTIVE AS OF THE DATE SET FORTH BELOW.

| Service Bureau: | Member: | BATS Exchange, Inc: |
|---|---|---|
| *Signature* | *Signature* | *Signature* |
| *Printed Name* | *Printed Name* | Tamara Schademann<br>*Printed Name* |
| *Title (must be an officer)* | *Title (must be an officer)* | SVP, Chief Regulatory Officer<br>*Title* |
| *Name of Service Bureau* | *Name of Firm* | *Date* |
| *Service Bureau Contact* | *Date* | |
| *Email Address of Contact Person* | *MPID of Member* | |

☐ MPID (Equities)
☐ EFID (Options)
☐ Both